# **Hereditary Nonpolyposis Colorectal Cancer Families Not Complying with the Amsterdam Criteria Show Extremely Low Frequency of Mismatch-Repair-Gene Mutations**

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Hereditary nonpolyposis colorectal cancer (HNPCC) is a<br>common autosomal dominant cancer-susceptibility condi-<br>tion characterized by early onset colorectal cancer. Germ-<br>line mutations in one of four DNA mismatch repair (MM to cause HNPCC. Although many mutations in these genes<br>have been found in HNPCC kindreds complying with the<br>so-called Amsterdam criteria, little is known about the<br>involvement of these genes in families not satisfying thes gradient-gel electrophoresis to screen for *hMSH2* and<br>*hMLH1* mutations in two sets of HNPCC families, one set<br>comprising families strictly complying with the Amsterdam<br>criteria and another set in which at least one of th or *hPMS2* (Nicolaides et al. 1994). The majority of mu-<br>
tions were found in 40% of the kindreds fully complying tations have been detected in *hMSH2* and *hMLH1*, tions were found in 49% of the kindreds fully complying<br>with the Amsterdam criteria, whereas a disease-causing mu-<br>tation could be identified in only 8% of the families in<br>which the criteria were not satisfied fully. In co **belonging to kindreds meeting the criteria showed microsat-**<br>ellite instability, whereas only 3 of 11 tumors from the other<br>set of families demonstrated this instability. Although the of instability, designated as "micros set of families demonstrated this instability. Although the<br>number of tumors included in the study admittedly is small,<br>the frequencies of mutations in the MMR genes show obvi-<br>on result in a rapid accumulation of somatic

## **Summary Introduction**

These results also emphasize the practical importance of<br>the Amsterdam criteria, which provide a valid clinical subdi-<br>vision between families, on the basis of their chance of<br>al. 1995). Thus, tumor progression is believed centages, in different types of nonfamilial cases of tu-Received February 21, 1997; accepted for publication May 21, 1997. mors—including colorectal cancer (Thibodeau et al. Address for correspondence and reprints: Dr. Riccardo Fodde, 1993; Aaltonen et al. 1994), pancreatic and gastric can-MGC-Department of Human Genetics, Sylvius Laboratories, Univer- cer (Han et al. 1993), endometrial carcinomas (Burks et sity of Leiden, Wassenaarseweg 72, P.O. Box 9503, 2300 RA Leiden, al. 1994: Risinger et al. 1994), bre sity of Leiden, Wassenaarseweg 72, P.O. Box 9503, 2300 RA Leiden, al. 1994; Risinger et al. 1994), breast cancer and ovarian The Netherlands. E-mail: fodde@ruly46.medfac.leidenuniv.nl 0002-9297/97/6102-0011\$02.00 suggesting that the same MMR genes responsible for

<sup>11</sup> **CHICHARGS.** E-HIGH. FOGLOBITHY-O.INCLUGEDITY-O.INCLUGEDITY.IN CANCER, and soft-tissue sarcomas (Wooster et al. 1994)—<br>© 1997 by The American Society of Human Genetics. All rights reserved.

HNPCC also may play an important role in the patho- **Table 1** genesis of common sporadic neoplasia.<br>
Studies in different populations demonstrate that mu-<br>
<u>Clinical Phenotypes of the 39 AMS-Families</u>

tations in *hMSH2* and in *hMLH1* occur in an approximately equal proportion ( $\sim$ 25% each) of HNPCC families (Han et al. 1995; Kolodner et al. 1995; Wijnen et al. 1995, 1996; Liu et al. 1996), with the exception of the Finnish population, in which *hMLH1* mutations were found in 83% of the HNPCC kindreds and *hMSH2* mutations in only 3% (Nyström-Lahti et al. 1996). However, this exceptionally high involvement of *hMLH1* is a reflection of the fact that two *hMLH1* mutant alleles are common in Finnish HNPCC kindreds, owing to founder effects (Nyström-Lahti et al. 1996).

To date, most of the HNPCC kindreds employed for linkage and mutation analysis satisfy the Amsterdam and Germ-line mutation found in the *bMSH2* gene in an HNPCC kincriteria, defined by the International Collaborative and order individual with endometrial cancer and one degree relative of the other two, are affected with histo- no family history was available, since the rest of the family currently logically verified colorectal adenocarcinoma; (2) at least is residing in Indonesia. one of these relatives is diagnosed before 50 years of age; and (3) familial adenomatous polyposis is absent (either clinically or by linkage) in all of the at-risk family generations were investigated. No evidence of founder members (Vasen et al. 1991). Because of the extreme effects was found. Information had been collected on the stringency of these criteria, it is not clear whether the type and site of the cancer, the age at diagnosis, the nature same MMR genes, in particular  $hMSH2$  and  $hMLH1$ , of therapeutic intervention, and the pathology and histoalso are responsible for HNPCC in kindreds not comply- pathology of the individual tumors, for each of the afing with the Amsterdam criteria but clearly showing fected persons. Also, the personal data and the outcome familial clustering of colorectal cancer and other can- of clinical screening, of the investigated at-risk relatives,

*hMLH1* genes, by use of GC-clamped denaturing gradi-<br>Czech family have been included. Eighty-six of these 125 ent-gel electrophoresis (DGGE) (Myers et al. 1987; families are AMS+. Of the 39 AMS– kindreds, 25 fulfill<br>Fodde and Losekoot 1994), in 125 unrelated kindreds the Amsterdam criteria, with one exception, whereas in with clustering of colorectal cancer and other cancers, the remaining 14 kindreds more than one of the criteria 86 of which fully comply with the Amsterdam criteria were not fulfilled (table 1).  $(AMS+)$ . In the rest of the families, at least one of the criteria is not satisfied  $(AMS-)$ . Tumor DNA that was DNA Isolation available from 17 of the kindreds was investigated for Genomic D MIN, by the screening of mono-, di-, tri-, and tetranucle- described elsewhere (Fodde et al. 1992). DNA from forotide repeat markers, for the examination of the involve- malin-fixed, paraffin-embedded colorectal adenocarciment of DNA MMR genes in the pathogenesis of these nomas was isolated as follows. Approximately 10 10-

Of a total of  $125$  kindreds employed in this study,  $34$ have been described in previous studies (Wijnen et al. 200-ug aliquots of proteinase k were added, with incurecruited from various clinical centers in the Netherlands, chloroform extraction, to remove the cellular proteins, mainly through the Netherlands Foundation for the De- the DNA was precipitated with 250  $\mu$ l 7.5-M NH<sub>4</sub>Ac, tection of Hereditary Tumors. In order to exclude the 20 µg glycogen, and 1 ml 100% ethanol. The precipitate presence of a founder effect, pedigrees were constructed was dissolved in 150 µl TE<sup>-4</sup> (10 mM Tris-HCl, pH by use of a genealogical approach for which at least three 8.0, and 0.1 mM EDTA).



two successive generations, one of whom is the first-<br>diagnosed with colorectal cancer at 42 years of age. Unfortunately,

cers. have been documented carefully. Also, 23 Norwegian In this study, we have analyzed the *hMSH2* and families, three Italian families, one Danish family, and a the Amsterdam criteria, with one exception, whereas in

Genomic DNA was isolated from whole blood, as tumors. mm paraffin sections were deparaffinized with Paraclear (Earth Safe Industries) and were washed with 100% **Patients, Material, and Methods** ethanol. The tissue was resuspended in a 1-ml extraction buffer (10 mM Tris-HCl, pH 8.0, 100 mM NaCl, 25 Patients mM EDTA, 0.5% SDS, and 300 µg proteinase k/ml) and was incubated at 55°C for 72 h. Two additional 1995, 1996). Ninety-seven of these families had been bation intervals of 24 h. After phenol/chloroform and

 $h$ *MSH2* exons 2 and 4, and 5 mM for  $h$ *MLH1* exon 4), 10% glycerol, 10 pmol of each primer, and 1 unit *Taq* at 72°C). The primer sets were designed to encompass with the exceptions of  $hMSH2$  exons 1, 2, 4, 5, 7, 13, tumor DN<br>14, and 15 and of  $hMLH1$  exons 2 and 12, for which mal DNA. 14, and 15 and of  $hMLH1$  exons 2 and 12, for which either the nature of the intronic sequences or the limited availability of sequence information did not allow **Results and Discussion** DGGE analysis of one of the two splice sites (Wijnen et al. 1995, 1996). The general strategy for the detection of mutations

ior simulations were performed with the MELT87 pro- products by GC-clamped DGGE. Exons exhibiting algram, developed and kindly provided by Dr. L. Lerman tered migration patterns were sequenced, to determine (Lerman and Silverstein 1987). The position of the GC- the molecular nature of the observed variation. When (Lerman and Silverstein 1987). The position of the GCrich sequence, whether at the  $5'$  end or at the  $3'$  end sequence variants were detected, the investigations were of each of the primer pairs, and the optimal ranges of extended to the rest of the family, to verify segregation denaturant used for DGGE of the individual exons were of the nucleotide change with the disease phenotype. described elsewhere (Wijnen et al. 1995, 1996). The Following the above-described strategy, we extended general procedure for DGGE analysis was described by the previously reported analysis of *hMSH2* and *hMLH1* general procedure for DGGE analysis was described by Fodde et al. (1992). in 34 AMS+ HNPCC kindreds (Wijnen et al 1995,

pattern were analyzed by solid-phase sequencing. PCR of which 19 have mutations in *hMSH2* and 26 in products were purified with Easyprep and the PCR- *hMLH1* (table 2). The mutations in *hMSH2* are dis-Product Prep Kit (Pharmacia), by following of the manu- persed along the coding region of the gene, with the facturer's instructions. Then, strand separation of the exceptions of exons 1 and 16, in which, to date, no PCR product was obtained by use of streptavidin-coated mutations have been found. Moreover, 3 mutations, in magnetic beads M280 (Dynal). Sequencing reactions exons 5, 8, and 12, were observed more frequently (table were performed in accordance with the procedures de- 2). The  $hMLH1$  mutations also were scattered throughscribed by Sanger et al. (1977), by use of fluorescein- out the entire coding region of the gene, with the excepisothiocyanate –labeled Universal M13 primer (Auto- tion of exon 12, in which no mutation was found. Interread Kit; Pharmacia), and were run on the automated estingly, a 3' mutation-cluster region, spanning exons laser fluorescent-DNA sequencing apparatus (A.L.F.; 15-16, accounts for 10 (38%) of the 26 *hMLH1*-muta-Pharmacia), in 6% polyacrylamide and 7.0 M urea, at tion kindreds described in this study (table 2). Five muta-1,500 V, 44 mA, and 40 W, at  $45^{\circ}$ C (laser power 4mW) for 6 h. ú1 HNPCC kindred (table 2). One of these mutations,

DNA (from blood lymphocytes) and tumor DNA (from Genealogical and haplotype studies failed to find any colorectal adenocarcinomas), from 24 patients from 17 relationship between 3 of these kindreds, ruling out the HNPCC families, by screening for repeat-number varia- possibility of a founder effect. tions at the poly-A repeat BAT40, at seven dinucleotide Of particular interest is the remarkable difference obrepeats (CA repeats D1S102, D2S123, D3S1265, served in the involvement of *hMSH2* and *hMLH1,* be-D7S440, D14S51, D19S210, and D22S257), at two tri-<br>ween the HNPCC kindreds fully complying with and

DNA Amplification nucleotide repeats (FABP2 and DRPLA), and at two Amplifications of the *hMSH2* and *hMLH1* genes were tetranucleotide repeats (D4S243 and D4S169). The reperformed in a 50-µl volume containing 10 mM Tris- peat markers were amplified from both normal-DNA HCl, pH 8.9, 50 mM KCl, 2.5 mM  $MgCl<sub>2</sub>$  (with the and tumor-DNA samples and were resolved by polyexceptions of 1.5 mM for *hMSH2* exon 1, 3.5 mM for acrylamide-gel electrophoresis, in accordance with con-<br>*hMSH2* exons 2 and 4, and 5 mM for *hMLH1* exon 4), ventional methodologies (Aaltonen et al. 1993). The in-200 µg BSA/ml, 0.01% gelatin, 0.2 mM of each dNTP, stability of a given marker was defined by the 10% glycerol. 10 pmol of each primer, and 1 unit  $Taa$  appearance of additional alleles in the tumor DNA, polymerase. The reaction was subjected to 35 PCR cy-<br>cles (60 s at 94°C, 90 s at 55°C or at 58°C, and 120 s. Tumors were considered to exhibit genomic instability, cles (60 s at 94°C, 90 s at 55°C or at 58°C, and 120 s Tumors were considered to exhibit genomic instability, or to be RER positive (RER+), whenever three or more of the above repeat markers showed novel bands in the the entire exon, including both intron-exon boundaries, of the above repeat markers showed novel bands in the with the exceptions of  $hMSH2$  exons 1, 2, 4, 5, 7, 13. tumor DNA that were not present in the matched nor-

responsible for HNPCC was amplification of each of DGGE the 16 *hMSH2* exons and the 19 *hMLH1* exons, for one For optimal DGGE conditions, DNA melting –behav- affected individual per family, and the analysis of the

1996) to an additional 91 families, 52 of which comply Sequence Analysis **Sequence Analysis** with the same clinical criteria. A total of 37 different DNA fragments that displayed an abnormal DGGE mutations have been identified in 45 unrelated kindreds, tions, 3 in *hMSH2* and 2 in *hMLH1*, were observed in the in-frame deletion of a lysine residue, in exon 16 of MIN *hMLH1*, represents the most frequently observed muta-Genomic instability was investigated in paired normal tion in this study, having been found in 4 kindreds.

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**MMR-Gene Alterations in HNPCC Families**



<sup>a</sup> Uppercase letters represent exonic nucleotides, and lowercase letters represent intronic nucleotides.

<sup>b</sup> Nucleotides involved in the substitution/deletion events are underlined.

<sup>c</sup> Previously described in the studies by Wijnen et al. (1995, 1996).

those not complying with the Amsterdam criteria. Muta- tumor initiation and/or progression, in the patients betions in these genes could be detected in 42 (49%) of longing to these two sets of families. Similar observathe 86 AMS+ families and in only 3 (8%) of the 39 tions were reported in Finnish HNPCC families (Ny-<br>kindreds in which one or more of the criteria were not ström-Lahti et al. 1996) and in American and in German fulfilled. This highly significant difference ( $P = 9 \times 10^{-6}$ ) suggests that different genetic factors are responsible for ström-Lahti et al. (1996) found *hMSH2* and *hMLH1* 

ström-Lahti et al. 1996) and in American and in German ) HNPCC families (Moslein et al. 1996). In Finland, Ny-









<sup>a</sup> The plus (+) sign indicates that the tumor DNA displayed additional alleles other than those observed in the paired normal DNA. The minus  $(-)$  sign indicates no difference between tumor DNA and normal DNA. ''na'' (''no amplification'') indicates that the tumor-DNA samples did not yield any PCR product, possibly owing to the presence of impurities in the DNA preparations.

However, two  $hMLH1$  mutations are very common AMS+ families and four in 18 (22%) AMS– families. in Indonesia (family NLB-296) (tables 1 and 2).<br>In the pooled American families and German families, In our study, 80 HNPCC kindreds, of which 44 are In the pooled American families and German families, Moslein et al. (1996) described comparable propor- AMS+ and 36 are AMS-, revealed no mutation in eitions—that is, mutations in 9 (45%) of 20 AMS+ fami- ther  $hMSH2$  or  $hMLH1$ . To verify the involvement of tions—that is, mutations in 9 (45%) of 20 AMS+ fami-<br>lies and in only 4 (15%) of 26 AMS- families.<br>DNA MMR genes, in the tumor pathogenesis in the

germ-line mutations in 30 (86%) of their 35 AMS+<br>families not fulfilling the Amsterdam criteria. These fam-<br>families and in only 6 (30%) of the 20 AMS- families. ilies failed to meet these strict criteria because of (1) th ilies failed to meet these strict criteria because of (1) the noninclusion of endometrial and ovarian cancers, on a among the Finnish kindreds, owing to founder effects par with colorectal cancer, in the Amsterdam criteria, (Nyström-Lahti et al. 1996). When a correction for for family N-534; (2) the occurrence of affected individfounder effects is incorporated, Nyström-Lahti et al.'s uals in only one generation, in family NLB-600; and (3) (1996) data show four different mutations in 9 (44%) the unavailability of the history of the family that resides

les and in only 4 (15%) of 26 AMS– families. DNA MMR genes, in the tumor pathogenesis in the Three germ-line mutations were found in HNPCC AMS– families, we analyzed, for MIN, the tumor DNA AMS-families, we analyzed, for MIN, the tumor DNA

### **Table 4**

**No. of Mutations, in** *hMSH2* **and** *hMLH1***, Found in the Dutch and the European AMS+ and AMS- HNPCC Families** 

<b>FAMILY SUBSET</b>	AMS+ FAMILIES			AMS-FAMILIES				
		No. $(\% )$ of Mutations			No. $(\% )$ of Mutations			
	$\boldsymbol{n}$	bMSH2	bMLH1	Total	$\boldsymbol{n}$	hMSH2	hMLH1	Total
Dutch European <sup>a</sup> Total	69 17 86	13(19) 4(24) 17(20)	20(29) 5(29) 25(29)	33(48) 9(53) 42 (49)	28 11 39	1(4) 1(9) 2(5)	1(4) $\cdots$ 1(3)	2(7) 1(9) 3(8)

<sup>a</sup> Included 23 Norwegian families, three Italian families, one Danish family, and one Czech family.

from 11 of these kindreds. Interestingly, 8 of 11 tumors<br>did not show RERs (RER-) (table 3), suggesting that,<br>in this subset of AMS-HNPCC patients, DNA MMR<br>mis work has been supported, in part, by the Dutch Cancer<br>misht no might not represent the major cause of the disease. Tu-<br>more DNA from A of  $\epsilon$  AMS Lindreds and from 3 of authors would like to thank the following clinicians for refer-

AMS- kindreds.<br>Among the AMS+ families, *hMSH2* mutations and Among the AMS/ families, *hMSH2* mutations and **References** *hMLH1* mutations were found in 20% and 29%, respectively (table 4). These percentages confirm our ob-<br>servation, in previous studies, based on a smaller num-<br>Mecklin I-P. Järvinen H. et al (1993) Clues to the pathogeneber of patients (Wijnen et al. 1995, 1996). Thus, these sis of familial colorectal cancer. Science 260:812-816 loci account for approximately one-half of the HNPCC Aaltonen L, Peltomäki P, Mecklin J-P, Järvinen H, Jass JR, families analyzed here. This might represent an underes-<br>
timate since our DGGE-based mutation-detection strat-<br>
ign and malignant tumors from hereditary nonpolyposis timate, since our DGGE-based mutation-detection strat-<br>
nign and malignant tumors from hereditary nonpoly<br>
colorectal cancer patients. Cancer Res 54:1645–1648 egy would not detect large genomic deletions, promoter

We could not detect any interethnic differences, in the lite instance in endometric differences in the lite in involvement of *bMSH2* and *bMLH1*, between HNPCC<br>families in the Netherlands and those elsewhere in Europe (table 4). These proportions also are comparable<br>vith those observed in Japan and in North America. In<br>Japan, 24% whereas, in North America, 31% and 33% of the muta- 94 tions have been found in *hMSH2* and in *hMLH1,* respec- Fodde R, van der Luijt R, Wijnen J, Tops C, van der Klift H, tively (Liu et al. 1996). In contrast, 83% of the Finnish van Leeuwen-Cornelisse I, Griffioen G, et al (1992) Eight HNPCC families harbor mutations in *hMLH1* and only novel inactivating germ line mutations at the APC gene iden-<br>3% have mutations in *hMSH2*, which is attributed to tified by denaturing gradient gel electrophoresis. Genom 3% have mutations in  $hMSH2$ , which is attributed to tified by denaturing the founder effect (Nyström-I abti et al. 1996) 13:1162-1168

the founder effect (Nyström-Lahti et al. 1996).<br>
In conclusion, we observed a highly significant differ-<br>
ence, in the involvement of *bMSH2* and *bMLH1* gene<br>
mutations, between HNPCC kindreds complying with<br>
the Amsterda tumorigenic pathways are responsible for these two cate-<br>gories of HNPCC kindreds. Further linkage or LOH sequences reveal a new mechanism for colonic carcinogenestudies will be of great importance to the identification sis. Nature 363:558-561 of new loci involved in the pathogenesis of these tumors. Kolodner RD, Hall NR, Lipford J, Kane MF, Morrison PT,<br>These findings also have important implications for the Finan PJ, Burn J, et al (1995) Structure of the human These findings also have important implications for the management of families with colorectal cancer, since locus and analysis of a large hereditary nonpolyposis colo-<br>individuals belonging to AMS– families should be inindividuals belonging to AMS– families should be in-<br>formed of the reduced probability of identification of  $55:242-248$ formed of the reduced probability of identification of<br>the responsible mutation. Moreover, in diagnostic labo-<br>ratories where the workload is potentially enormous,<br>owing to the large number of cases with an apparent<br>famili raminal clustering of colorectal tumors, the Amsterdam<br>criteria, together with the assessment of tumor MIN<br>(RER+), presently provide a cost-effective subdivision<br>(RER+), presently provide a cost-effective subdivision<br>sons of HNPCC families, on the basis of the probability of log in hereditary nonpolyposis colorectal cancer. Cell 75: the identification of the responsible molecular defect in 1215–1225 the *hMSH2* and *hMLH1* genes. Lerman LS, Silverstein K (1987) Computational simulation of

mor DNA from 4 of 6 AMS+ kindreds and from 3 of<br>
11 AMS– kindreds exhibited MIN (RER+) (table 3).<br>
Although germ-line mutations in *hMSH2* or in *hMLH1*<br>
could be found in 3 of the 4 AMS+ kindreds with RER+<br>
tumors, no mut

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